

DOT COMPLIANCE BASICS CHECKLIST



Commercial Motor Vehicle (CMV) Operators participating in Interstate commerce must comply with the Federal Motor Carrier Safety Regulations (FMCSRs).

Operators of CMVs participating in Intrastate commerce must comply with Drug and Alcohol, Commercial Driver's License (CDL), and possibly other regulations since it's likely that their state has adopted a portion or all of the FMCSRs.

● **The following questions will help you determine whether a motor carrier's vehicles meet one of the definitions of "CMV" and which FMCSRs need to be implemented.**

- 1. Do any of your vehicles have a gross vehicle weight rating or gross combination weight rating of 10,001 or more pounds? (A number of states raised the weight limit for intrastate vehicles).
- 2. Are any of your vehicles required to be placarded for hazardous materials?
- 3. Are any of your vehicles designed to transport more than 15 passengers?

● **If the answer is YES to any of these questions, the motor carrier is required to do the following:**

- 1. Comply with the current FMCSR §390.3 (e) (1). You should have a copy of the current FMCSA Safety Regulations at your place of business. In addition, all drivers are also required to familiarize themselves with Parts 40, 380, 382, 383, 387, 390-397, 399 Subchapter B, Chapter 3, Title 49 of the Code of Federal Regulations. It is recommended that each commercial motor vehicle operator receive a copy of these regulations, and a signed receipt and agreement of compliance is retained by the employer.
- 2. Maintain driver qualification records and Safety Performance History information on your CMV Drivers for each driver (§391.51 & §391.53).
- 3. Complete pre-trip (§392.7 and §396.13) and document post-trip daily vehicle inspections (§396.11).
- 4. Document each driver's hours of service (§395.8) Note: CDL Drivers who travel within a 100 air mile radius of the terminal (or non-CDL CMV drivers who travel within a 150 air mile radius) may not need to complete a log grid, but still must track their hours of service!
- 5. Comply with CMV Entry Level Driver Training Requirements (§380.503).
- 6. Establish a routine, ongoing vehicle maintenance program (§396.3).
- 7. Maintain required information for each accident in an accident register (§390.15).
- 8. Mark the vehicle with name and DOT# (§390.21).
- 9. Carriers having drivers operating a Commercial Motor Vehicle which falls under one or more of the following criteria must comply with DOT Drug & Alcohol Testing Requirements (§382) (including Reasonable Suspicion Training Certification for Supervisors, DOT/FMCSA Testing and Recordkeeping Requirements, and DOT Drug & Alcohol Policy Requirements):
 - a. Has a gross combination weight rating of 11,794 kilograms or more (26,001 pounds or more) inclusive of a towed unit(s) with a gross vehicle weight rating of more than 4,536 kilograms (10,000 pounds); or
 - b. Has a gross vehicle weight rating of 11,794 or more kilograms (26,001 pounds or more); or
 - c. Is designed to transport 16 or more passengers, including the driver; or
 - d. Is of any size and is used in the transportation of hazardous materials.

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